

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



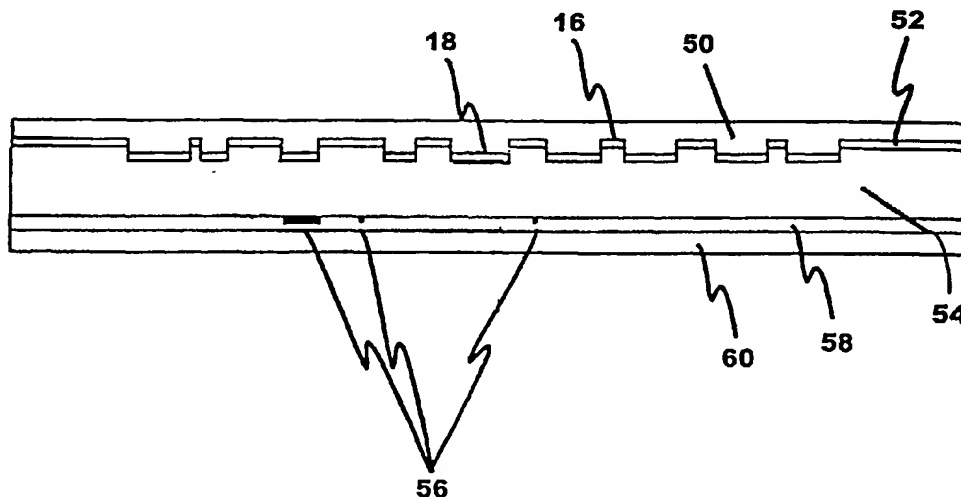
(43) International Publication Date  
10 January 2002 (10.01.2002)

PCT

(10) International Publication Number  
**WO 02/02301 A1**

- (51) International Patent Classification<sup>7</sup>: **B29D 11/00**, (74) Agent: **MOORE, Steven, J.**; Cummings & Lockwood, P.O. Box 1960, New Haven, CT 06509-9958 (US).
- (21) International Application Number: PCT/US01/10104 (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (22) International Filing Date: 29 March 2001 (29.03.2001)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:  
09/608,886 30 June 2000 (30.06.2000) US  
09/631,585 3 August 2000 (03.08.2000) US  
09/739,090 15 December 2000 (15.12.2000) US
- (71) Applicant (*for all designated States except US*): **VERIFICATION TECHNOLOGIES INC.** [US/US]; 85 Westbrook Road, P.O. Box 11, Centerbrook, CT 06409 (US).
- (72) Inventors; and
- (75) Inventors/Applicants (*for US only*): **DREW, Jeffrey, M.** [US/US]; 131 Montowese Street, Branford, CT 06405 (US). **SLINFREUND, Richard, H.** [US/US]; 1285 Moose Hill Road, Guilford, CT 06437 (US). **VIG, Rakesh** [IN/US]; 15 Park Place, Durham, CT 06422 (US).
- Published:  
— with international search report
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

(54) Title: COPY-PROTECTED OPTICAL MEDIA AND METHOD OF MANUFACTURE THEREOF



(57) Abstract: A method for fabricating read-only copy protected optical medium comprising a light-sensitive material (56) at positions capable of altering the data read during copying of the optical medium but permitting the underlying data to be read during reading of the optical medium.

WO 02/02301 A1

CM

## **COPY-PROTECTED OPTICAL MEDIA AND METHOD OF MANUFACTURE THEREOF**

### **BACKGROUND OF THE INVENTION**

#### **1. Related Art**

This application is a continuation-in-part of US. Patent Application No. 09/739,090, which is a continuation-in-part application of U.S. Patent Application No. 09/608,886, filed June 30, 2000, and U.S. Patent Application No. 09/631,585, August 3, 2000, from which priority is claimed.

#### **2. Field of the Invention**

The present invention generally relates to copy-protected optical information recording media and methods for manufacturing the same. More specifically, the present invention relates to the manufacture of an optically readable digital storage medium that protects the information stored thereon from being copied using conventional optical medium readers, such as CD and DVD laser readers, but permits reading of the information from the digital storage media by the same readers.

#### **3. Background of the Invention**

Optical data storage media ("optical media") are media in which data is  
5 stored in an optically readable manner. Data on optical media are encoded by optical changes in one or more layers of the media. Optical data media are used to distribute, store and access large volumes of data. Formats of optical medium include read-only formats such as CD-DA (digital audio compact disc), CD-ROM (CD-read-only memory), DVD (digital versatile disc or digital video disc) media, write-once read-many times  
10 (WORM) formats such as CD-R (CD-recordable), and DVD-R (DVD-recordable), as well as rewritable formats such as found on magneto-optical (MO) discs, CD-RW (CD-rewriteable), DVD-RAM (DVD-Random Access Media), DVD-RW or DVD+RW (DVD-rewriteable), PD (Phase change Dual disk by Panasonic) and other phase change optical

discs. Erasable, or rewritable, optical discs function in a similar manner to magneto-optical (MO) disks and can be rewritten over and over. MO discs are very robust and are geared to business applications, typically in high-capacity disk libraries.

Optical media have grown tremendously in popularity since their first  
5 introduction owing in a great deal to their high capacity for storing data as well as their open standards. For example, a commercially available magnetic floppy diskette is only capable of storing 1.44 Mb of data, whereas an optical CD-ROM of approximately the same size can have a capacity in excess of 600 MB. A DVD has a recording density which is significantly greater than a CD. For example, conventional DVD read-only discs  
10 currently have a capacity of from 4.7 GB (DVD-5, 1 side/1 layer) to 17.0 GB (DVD-18, 2 sides/ 2 layers), write-once DVDs a capacity of 3.95 GB (DVD-R, 1 side/1 layer) to 7.90 GB (DVD-R, 2 sides/1 layer) (newer DVD-Rs can hold up to 4.7 GB per side), and conventional rewritable DVDs of from 2.6 GB (DVD-RAM, 1 side/1 layer) to 10.4 GB (MMVF, 2 sides/1 layer). Optical discs have made great strides in replacing cassette tapes  
15 and floppy disks in the music and software industries, and significant in-roads in replacing video cassette tapes in the home video industry.

Data is stored on optical media by forming optical deformations or marks at discrete locations in one or more layers of the medium. Such deformations or marks effectuate changes in light reflectivity. To read the data on an optical medium, an optical  
20 medium player or reader is used. An optical medium player or reader conventionally shines a small spot of laser light, the "readout" spot, through the disc substrate onto the data layer containing such optical deformations or marks as the medium or laser head rotates.

In conventional "read-only" type optical media (e.g., "CD-ROM"), data is  
25 generally stored as a series of "pits" embossed with a plane of "lands". Microscopic pits formed in the surface of the plastic medium are arranged in tracks, conventionally spaced radially from the center hub in a spiral track originating at the medium center hub and

-3-

ending toward the medium's outer rim. The pitted side of the medium is coated with a reflectance layer such as a thin layer of aluminum or gold. A lacquer layer is typically coated thereon as a protective layer.

The intensity of the light reflected from a read-only medium's surface by an optical medium player or reader varies according to the presence or absence of pits along the information track. When the readout spot is over the flat part of the track more light is reflected directly from the disc than when the readout spot is over a pit. A photodetector and other electronics inside the optical medium player translate the signal from the transition points between these pits and lands caused by this variation into the 0s and 1s of the digital code representing the stored information.

A number of types of optical media are available which permit an end-user to record data on the media, such optical media generally are categorized as "writable" or "recordable," or "re-writable."

"Writable" or "recordable" optical media (e.g., "CD-R" discs) permit an end-user to write data permanently to the medium. Writable media are designed such that laser light in the writer apparatus causes permanent deformations or changes in the optical reflectivity of discrete areas of the data layer(s) of the medium. Numerous writable optical media are known, including those that employ a laser deformable layer in their construct upon which optically-readable areas analogous to the pits and lands found in conventional read-only optical media can be formed (See, e.g., EP-A2-0353391), those that employ a liquid-crystalline material in their data layer(s) such that irradiation with the laser beam causes permanent optical deformations in the data layer (See, e.g., U.S. Patent No. 6,139,933 which employs such layer between two reflective layers to effect a Fabry-Perot interferometer), and those that utilize a dye that irreversibly changes state when exposed to a high power writing laser diode and maintains such state when read with a low power reading laser (so-called, WORM, write-once-read-many times, optical media).

-4-

Rewritable optical media (e.g., "CD-RW", "DVD-RAM", "DVD-RW", "DVD+RW" and "PD" media) use the laser beam to cause reversible optical deformations or marks in the data layer(s), such that the data layer is capable of being written on, read, erased and rewritten on many times. Several rewritable optical media systems are known.

5

In one system, an optically-deformable data layer is deformed in discrete areas by the writing laser to form optical changes representative of the data, for example, pits and lands, and erased by uniformly deforming the same optically-deformable data layer, or the portion thereof wherein the data desired to be deleted is found. In another system, a photochromic material layer is used to store the data. In this system, the photochromic material reversibly changes when the material is irradiated by light possessing certain wavelengths. For example, a colorless compound may change its molecular state to a quasi-stable colored state when irradiated by ultraviolet (UV) light, yet be returned to the colorless state upon exposure to visible light. By selectively irradiating the photochromic material layer with the one wavelength to cause an optical change, and then irradiating with the other wavelength to reverse such optical change, one is permitted to write, erase, and re-write data.

Materials that changes color due to a change in crystalline state have been found to be particularly useful in re-writable media. In one system, a material which is dark in the amorphous state, but bright in the crystalline state, is used to record the data. In such system, dark amorphous marks are formed utilizing a short high-power laser pulse that melts the recording material followed by quenching to temperatures below the crystalline temperature. The data formed thereby, can be erased by heating the amorphous state over a long enough period of time between the temperature of crystallization and temperature of melt to regain the crystalline state. Ternary stoichiometric compounds containing Ge, Sb and Te (e.g.,  $\text{Ge}_1\text{Sb}_2\text{Te}_4$ ,  $\text{Ge}_2\text{Sb}_2\text{Te}_5$ ) are in particular known to show a large optical contrast between amorphous and crystalline phase and have acceptable melting temperatures ( $t_{\text{cryst}}$  = about 150 - 200°C,  $t_{\text{melt}}$  = about 600°C). Alloys of such

compounds with antimony (Sb), cadmium (Cd) and tin (Sn) have also been employed in rewritable media.

In rewritable optical media control information such as address data, rotation control signal, user information *etc.* is generally previously recorded on the header  
5 field in the form of pre-pits.

Data may also be stored in what are referred to as fluorescent multilayer disks. In fluorescent memory storage, the data is present as local variations of fluorescent substance properties. Typically the substance is illuminated with radiation at excitation wavelength, and the fluorescence signal is registered at a different wavelength. A spectral  
10 filter is used to separate the fluorescent signal at the receiver from the noise of the excitation radiation. Data may be stored in a 3-D manner using the fluorescent principle. The two-photon approach is often utilized when the fluorescent medium is to be rewritable. In this approach a fluorescent medium containing photochromic molecules capable of existing in two isomeric forms is used. The first isomeric form is not  
15 fluorescent and has absorption bands for UV radiation, and is capable of being transferred into the second isomeric form upon the simultaneous absorption of two long wavelength photons which is capable of exhibiting fluorescence.

Hybrid optical media are also known. For example, "half-and-half" discs are known wherein one portion of the disc has conventional CD-ROM pits and the other  
20 portion of the disc has a groove pressed into the disc with a dye layer thereover to form a CD-R portion. A relatively new hybrid optical media is the CD-PROM (i.e., CD programmable ROM). The CD-PROM medium combines a read-only CD-ROM format with a recordable CD-R format on one medium, but features only a single continuous groove on the medium with the entire medium coated with a dye layer. The geometry of  
25 the continuous groove of the CD-PROM medium is modulated so as to look like ROM pits to an optical reader. It also provides no dye transition issues to overcome in manufacturing.

-6-

An optical disc medium read by moving a read head generating a radiation beam in a specified path relative to the optical medium. The radiation beam is used to differentiate regions having different optical properties, such different optical properties being used to represent the data, for example, the "on" logical state being represented by a particular region. The detectable differences are converted into electrical signals, which are then converted to a format that can be conveniently manipulated by a signal processing system. For example, by setting a threshold level of reflectance, transitions between pits and lands may be detected at the point where the signal generated from the reflectance crosses a threshold level. The pits represent a 1 and lands a 0. In this manner, binary information may be read from the medium.

The vast majority of commercially-available software, video, audio, and entertainment pieces available today are recorded in read-only optical format. One reason for this is that data replication onto read-only optical formats is significantly cheaper than data replication onto writable and rewritable optical formats. Another reason is that read-only formats are less problematical from a reading reliability standpoint. For example, some CD readers/players have trouble reading CD-R media, which has a lower reflectivity, and thus requires a higher-powered reading laser, or one that is better "tuned" to a specific wavelength.

Data is conventionally written onto pre-fabricated writeable and rewritable medium individually, for example, one disc at a time, using a laser. Data is conventionally stamped onto read-only media by a die moulding (injection moulding) process during the manufacture of the read-only medium. Today many more data-containing optical media can be manufactured by the stamping process than by the laser writing process over a set unit of time, significantly reducing the cost of such stamped read-only optical media for large quantities of optical media. The manufacturing of a stamped medium is also considerably cheaper than in fabricating a fluorescent multi-layer medium.

-7-

Interference/reflectivity type optical media comprising a read-only format are typically manufactured following a number of defined steps:

Data to be encoded on the medium is first pre-mastered (formatted) such that data can be converted into a series of laser bursts by a laser which will be directed  
5 onto a glass master platter. The glass master platter is conventionally coated with a photoresist such that when the laser beam from the LBR (laser beam recorder) hits the glass master a portion of the photoresist coat is "burnt" or exposed. After being exposed to the laser beam, it is cured and the photoresist in the unexposed area rinsed off. The resulting glass master is electroplated with a metal, typically Ag or Ni. The electroformed  
10 stamper medium thus formed has physical features representing the data. When large numbers of optical media of the disc-type are to be manufactured, the electroformed stamper medium is conventionally called a "father disc". The father disc is typically used to make a mirror image "mother disc," which is used to make a plurality of "children discs" often referred to as "stampers" in the art. Stampers are used to make production  
15 quantities of replica discs, each containing the data and tracking information which was recorded on the glass master. If only a few discs are to be replicated (fewer than 10,000) and time or costs are to be conserved, the original "father" disc might be used as the stamper in the mould rather than creating an entire "stamper family" consisting of a "father", "mother" and "children" stampers.

20 The stamper is typically used in conjunction with an injection molder to produce replica media. Commercially-available injection molding machines subject the mold to a large amount of pressure by piston-driven presses, in excess of 20,000 pounds.

In the optical medium moulding process, a resin is forced in through a sprue channel into a cavity within the optical tooling (mold) to form the optical medium  
25 substrate. Today most optical discs are made of optical-grade polycarbonate which is kept dry and clean to protect against reaction with moisture or other contaminants which may introduce birefringence and other problems into the disc, and which is injected into the



-8-

mold in a molten state at a controlled temperature. The format of the grooves or pits are replicated in the substrate by the stamper as the cavity is filled, and compressed against the stamper. After the part has sufficiently cooled, the optical tooling mold is opened and the sprue and product eject are brought forward for ejecting the formed optical medium off of  
5 the stamper. The ejected substrate is handed out by a robot arm or gravity feed to the next station in the replication line, with transport time and distance between stations giving the substrate a chance to cool and harden.

The next step after molding in the manufacture of a read-only format is to apply a layer of reflective metal to the data-bearing side of the substrate (the side with the  
10 pits and lands). This is generally accomplished by a sputtering process, where the plastic medium is placed in a vacuum chamber with a metal target, and electrons are shot at the target, bouncing individual molecules of the metal onto the medium, which attracts and holds them by static electricity. The sputtered medium is then removed from the sputtering chamber and spin-coated with a polymer, typically a UV-curable lacquer, over  
15 the metal to protect the metal layer from wear and corrosion. Spin-coating occurs when the dispenser measures out a quantity of the polymer onto the medium in the spin-coating chamber and the medium is spun rapidly to disperse the polymer evenly over its entire surface.

After spin-coating, the lacquer (when lacquer is used as the coat) is cured  
20 by exposing it to UV radiation from a lamp, and the media are visually inspected for reflectivity using a photodiode to ensure sufficient metal was deposited on the substrate in a sufficiently thick layer so as to permit every bit of data to be read accurately. Optical media that fail the visual inspection are loaded onto a reject spindle and later discarded. Those that pass are generally taken to another station for labeling or packaging. Some of  
25 the "passed" media may be spot-checked with other testing equipment for quality assurance purposes.

Optical media have greatly reduced the manufacturing costs involved in selling content such as software, video and audio works, and games, due to their small size and the relatively inexpensive amount of resources involved in their production. They have also unfortunately improved the economics of the pirate, and in some media, such as video and audio, have permitted significantly better pirated-copies to be sold to the general public than permitted with other data storage media. Media distributors report the loss of billions of dollars of potential sales due to high quality copies.

Typically, a pirate makes an optical master by extracting logic data from the optical medium, copying it onto a magnetic tape, and setting the tape on a mastering apparatus. Pirates also sometimes use CD or DVD recordable medium duplicator equipment to make copies of a distributed medium, which duplicated copies can be sold directly or used as pre-masters for creating a new glass master for replication. Hundreds of thousands of pirated optical media can be pressed from a single master with no degradation in the quality of the information stored on the optical media. As consumer demand for optical media remains high, and because such medium is easily reproduced at a low cost, counterfeiting has become prevalent.

A variety of copy protection techniques and devices have been proposed in the art to limit the unauthorized copying of optical media. Among these techniques are analog Colorstripe Protection System (CPS), CGMS, Content Scrambling System (CSS) and Digital Copy Protection System (DCPS). Analog CPS (also known as Macrovision) provides a method for protecting videotapes as well as DVDs. The implementation of Analog CPS, however, may require the installation of circuitry in every player used to read the media. Typically, when an optical medium or tape is "Macrovision Protected," the electronic circuit sends a colorburst signal to the composite video and s-video outputs of the player resulting in imperfect copies. Unfortunately, the use of Macrovision may also adversely affect normal playback quality.

-10-

With CGMS the media may contain information dictating whether or not the contents of the media can be copied. The device that is being used to copy the media must be equipped to recognize the CGMS signal and also must respect the signal in order to prevent copying. The Content Scrambling System (CSS) provides an encryption  
5 technique to that is designed to prevent direct, bit-to-bit copying. Each player that incorporates CSS is provided with one of four hundred keys that allow the player to read the data on the media, but prevents the copying of the keys needed to decrypt the data. However, the CSS algorithm has been broken and has been disseminated over the Internet, allowing unscrupulous copyists to produce copies of encrypted optical media.

10 The Digital Copy Protection System (DCPS) provides a method whereby devices that are capable of copying digital media may only copy medium that is marked as copyable. Thus, the optical medium itself may be designated as uncopyable. However, for the system to be useful, the copying device must include the software that respects that "no copy" designation.

15 While presently available copy protection techniques make it more difficult to copy data from optical media, such techniques have not been shown to be very effective in preventing large scale manufacture of counterfeit copies. The hardware changes necessary to effectuate many copy protection schemes simply have not been widely accepted. Nor have encryption code protection schemes been found to be fool proof in  
20 their reduction of the copying data from optical medium, as data encryption techniques are routinely cracked.

There is a need therefore for a copy-protected optical medium which does not depend entirely on encryption codes or special hardware to prevent the copying of the optical medium. Such optical media should also be easily and economically manufactured  
25 given the current strictures of optical medium manufacture. The copy-protected media should also be readable by the large number of existing optical medium readers or players without requiring modifications to those devices.

### DEFINITIONS

“Authentication Material” refers to a material used to authenticate, identify  
5 or protect an optical medium. The data recorded on an optical medium, for example,  
software, video or audio files, are not authentication material.

“Communication System” refers to any system or network for transferring  
digital data from a source to a target.

“Light-ChangeableMaterial”: a material that absorbs, reflects, emits or  
10 otherwise alters electromagnetic radiation directed at the same. By “light-changeable  
compound” it is meant to include, without limitation, “light-sensitive”, “light-emissive”  
and “light-absorbing” compounds, as defined below.

“Light-Emissive material”: a material that emits light in response to  
excitation with light. Light emission can be a result of phosphorescence,  
15 chemiluminescence, or, more preferably, fluorescence. By the term “light-emissive  
compounds,” it is meant to include compounds that have one or more of the following  
properties: 1) they are a fluorescent, phosphorescent, or luminescent; 2) react, or interact,  
with components of the sample or the standard or both to yield at least one fluorescent,  
phosphorescent, or luminescent compound; or 3) react, or interact, with at least one  
20 fluorescent, phosphorescent, or luminescent compound to alter emission at the emission  
wavelength.

“Light-Absorbing Compounds”: compounds that absorb light in response to  
irradiation with light. Light absorption can be the result of any chemical reaction known  
25 to those of skill in the art.

-12-

“Light-Sensitive Material”: a material capable of being activated so as to change in a physically measurable manner, upon exposure to one or more wavelengths of light.

5 “Non-Destructive Security Dye” refers to a security dye that does not render media permanently unreadable.

“Opacity-Resistant Light-Sensitive Material”: a material capable of being activated so as to change in a physically measurable manner, other than in opacity, upon exposure to one or more wavelengths of light. A opacity-resistant light-sensitive material may be said to be reversible when the activated change returns to the initial state due to the  
10 passage of time or change in ambient conditions.

“Optical medium”: a medium of any geometric shape (not necessarily circular) that is capable of storing digital data that may be read by an optical reader.

“Recording Dye” refers to a chemical compound that may be used with an optical recording medium to record digital data on the recording layer.

15 “Reader”: any device capable of detecting data that has been recorded on an optical medium. By the term “reader” it is meant to include, without limitation, a player. Examples are CD and DVD readers.

“Read-only Optical Medium”: an optical medium that has digital data stored in a series of pits and lands.

20 “Recording Layer”: a section of an optical medium where the data is recorded for reading, playing or uploading to a computer. Such data may include software programs, software data, audio files and video files.

“Registration Mark”: a physical and/or optical mark used to allow precise alignment between one substrate and another substrate such that when the registration  
25 marks are aligned, the corresponding positions on each substrate are known. For example,

-13-

when two medium are juxtaposed against one another such that their registration marks are aligned, the point on one substrate corresponding to a physical and/or optical deformation on the other substrate is known.

“Re-read”: reading a portion of the data recorded on a medium after it has  
5 been initially read.

“Reversible Light-Sensitive Material”: a light-sensitive material is said to be reversible when the activated change returns to the initial state due to the passage of time or change in ambient conditions.

“Security Dye” refers to a compound that may provide or alter a signal to  
10 protect the data on a storage medium.

“Temporary Material” refers to material that is detectable for a limited amount of time or a limited number of readings.

### **SUMMARY OF THE INVENTION**

15 The present invention provides an optical medium, and a method of manufacturer thereof, that provides copy protection by incorporating a light-changeable compound in or on the optical medium at discrete positions (loci) such that it provides for altering of the digital data output from a section of the recording layer in a predictable manner. Such optical medium permits the data to be read without requiring alteration to  
20 the hardware, firmware or software used in optical media readers while preventing reproduction of the medium. The optical media of the present invention provide producers and distributors of digital data with a data distribution medium that prevents reproducing of their digital data, for example, software, audio and video. The present invention particularly relates to read-only optical medium including, but not limited to CD, CD-  
25 ROM, DVD, DVD-5, DVD-9, DVD-10, DVD-18 and DVD-ROM, where optical

-14-

deformations representing the data are introduced permanently into at least a portion of the optical medium prior to distribution to an end-user. As would be understood by one of ordinary skill in the art, however, the present invention may also be used with writable and re-writable optical media such as CD-R and DVD-R.

5           The present inventors have discovered a method for altering and/or augmenting the optically-read data stored on an optical medium in a manner that does not prevent the underlying data from being read by a conventional optical medium reader, but prevents the production of a useable optical medium copy using such conventional optical medium readers. The present inventors have found that by selectively placing certain  
10   reversible light-changeable materials, and in particular light-emissive materials, at discrete positions on an optical medium, that a conventional optical reader can be made at the first pass of such positions to read the data represented by the optical deformations correctly, but on a second pass read the data differently due to the activation of the reversible light-changeable material. That is, the passing light of the reader may be used to influence the  
15   compound and change its properties so that upon re-reading, the data signal that is received by the detector is different from that which was received upon initial sampling. For example, the light-changeable compound may become reflective within a timeframe that provides for reflectance of the light beam upon resampling. Alternatively, the light-changeable material may provide for delayed emission or absorbance of light, thereby  
20   altering the signal either positively or negatively.

As most optical media readers and players are pre-programmed to re-sample data areas of the recording layer to assure correct copying, optical medium of an embodiment of the present invention will fail to copy, as a data string read from the recording layer will vary according to whether the light-changeable material is activated  
25   upon sampling. That is, re-sampling of a data area in proximity to the light-changeable material may result in a different data read than when the data was initially read. Even if a copy can be made, that copy will be invalid if a program on the optical medium requires

-15-

two different reads to access data on the optical medium. That is, the copy will be invalid since it will only represent one of two possible states at that data locus.

The present invention provides for specific optical media designs, and methods for manufacturing such designs, that incorporate light-changeable materials in a manner that selectively changes the data read-out of the recording layer of an optical medium upon re-sampling of those portions of the recording layer in proximity to the light-changeable material foci. In particular, there is provided optical medium designs that may be easily and economically produced without significantly altering the injection molding manufacturing process of read-only optical media (as set forth above).

In a first embodiment of the present invention there is provided an optical medium having light-changeable material selectively imprinted or placed on the non-impressed (i.e., non-stamped) side of the recording layer of an optical medium. Such medium comprises a first substrate having two major surfaces, a data track disposed along one major surface of the first substrate, and a light-changeable compound disposed on the other major surface of the first substrate cooperating with the data track to alter the data upon excitation with a suitable light stimulus (e.g., a particular wavelength). Such optical medium further preferably comprises a second substrate, preferably of similar optical properties (preferably of the same material), affixedly attached to the surface of the substrate where the light-changeable compound is disposed.

A first embodiment optical medium of the present invention may be produced by disposing the light-changeable material onto the non-impressed side of the substrate after the substrate has been stamped and sufficiently cooled, and after the optical tooling mould is opened (but before the sprue and product eject are brought forward for ejecting the formed optical medium off of the stamper). As would be understood by one of ordinary skill in the art such manufacturing technique permits precise registration of the light-changeable material with the data impressions on the other surface of the substrate. Preferably the light-changeable material is covered by a second substrate of similar (or



-16-

identical) optical properties to protect the light-changeable material from its ambient environment. Such second substrate may be affixed to the first substrate either before or after the sputtering step used to cover the stamped surface of the first substrate. Either or both of the first and second substrates may be spin-coated with an adhesive agent prior to formation of such optical medium such that the layers may be affixedly attached. Alternatively, the light-changeable material may be coated with a polymer, as by spin-coating. For example, an optically-pure lacquer may be used to coat the light-changeable materials.

In a second embodiment of the present invention, there is provided an optical medium comprising a first substrate layer having a first major surface and a second major surface, said first major surface of said first substrate layer having light-changeable material thereon, and either of said first or second major surface of said first substrate layer, or both, having a registration mark thereon; a second substrate layer having a first major surface and a second major surface, said first major surface of said second substrate layer having information pits thereon, and either of said first or second major surface of said second substrate, or both, having a registration mark thereon, said second major surface of said second substrate being disposed along said first major surface of said first substrate layer such that the registration marks of said first and second substrates are aligned; a metal reflector layer, said metal reflector layer being disposed along said first major surface of said second substrate layer; a first overcoat layer being disposed along said metal reflector layer, and optionally a second overcoat layer being disposed along said second major surface of said first substrate layer.

A second embodiment optical medium may be produced by obtaining a first substrate having a first major surface and a second major surface and a registration mark on either of said first or second major surface, or both; imprinting in discrete positions on said first major surface of said first substrate layer light-changeable material; obtaining a second substrate having a first major surface and a second major surface, and a registration mark on either of said first or second major surface, or both, said first major

-17-

surface of said second substrate layer having information pits thereon; disposing said second major surface of said second substrate along said first major surface of said first substrate such that the registration marks on said first and second substrate are aligned and affixing said second major surface of said second substrate to said first major surface of said first substrate; metalizing said first major surface of said second substrate layer having  
5 said information pits; disposing a first overcoat layer along said metalized surface; and optionally disposing a second overcoat layer along said second major surface of said first substrate layer. As would be understood by one of ordinary skill in the art, the registration marks need not be on the actual surface of a substrate, but need to be detectable. By “a  
10 surface having a detectable registration mark” it is meant that a registration mark is detectable therethrough or thereon.

In a third embodiment of the present invention, there is provided an optical medium comprising a substrate having material(s) capable of reacting with one another, or being activated, such that they form a light-changeable material(s) upon exposure to a  
15 particular light source of defined energy, such material being coated on the non-impressed (i.e., non-stamped) side of the recording layer of an optical medium. Such optical medium comprises a first substrate, a data track disposed along one surface of the first substrate, and the material(s) capable of being activated to form a light-changeable material(s) upon exposure to a particular light source (of defined energy) coated on the non-embossed  
20 surface of the first substrate. For example, a laser may catalyze crosslinking of certain inactive material(s) to form light-changeable compounds, such as a light-emissive material. In this embodiment, the coated material is activated in discrete areas using the appropriate light source (and energy) so as to form a light-changeable material at discrete points which will cooperate by their positioning with respect to the data track to alter the  
25 data upon excitation with a suitable light stimulus (e.g., a particular wavelength). This selective activation of various portions of the first substrate to form a light-changeable compound may be performed in a manner similar to that used to write data to a CD-R disc. Such optical medium further preferably comprises a second substrate, preferably of similar

-18-

optical properties (preferably of the same material), affixedly attached to surface of the substrate where the formed light-changeable compounds are disposed. In an alternative to such embodiment, the material coated on the non-embossed (i.e., non-stamped) side of the recording layer of an optical medium may be light-changeable material that may be  
5 selectively deactivated using a laser of particular wavelength and strength. In such case selective activation in the appropriate data spots can be caused by deactivating those portions of the coat which one does not wish to have light-changeable properties.

In a fourth embodiment of the present invention there is provided an optical medium comprising a substrate having two major surfaces, one major surface of the  
10 substrate having a data track disposed thereon, and a cohesive layer disposed above such data track, the cohesive layer containing light-changeable material cooperating with data track so as to alter the read of the data stored therein upon excitation with a suitable light stimulus (i.e., activation of the light-changeable material). A preferred optical medium of such embodiment comprises a first molded layer having a data track disposed thereon, a  
15 first polymeric layer covering the data track, second polymeric layer comprising the light-changeable material, and a third polymeric layer covering the second polymeric layer. The first polymeric layer may comprise a dielectric layer. The first and second polymeric layers are preferably less than 3 nm in thickness.

In a fifth embodiment, there is provided copy protection in that the optical  
20 optical medium itself has code that instructs the optical reader to re-sample a data area where a light-changeable material is found (or where the light-changeable material affects the read), and to fail to permit the access to the data if upon re-reading the data area, that data elicited is the same as upon initial sampling. In another embodiment, the light-changeable compound must be located at a particular locus for the optical media in  
25 operate. For example, software may be included on the optical medium to direct the optical reader to alter its focal length such that the light-changeable material in a plane different from the optical data is detected and access to the optical data permitted only if such light-changeable material is detected.

Yet in a sixth embodiment of the present invention, an optical medium having light-changeable material is formed by selectively placing the light-changeable material into a pit or onto a land of a standard optical medium using microinjection techniques, well known in the art, prior to the metalizing step.

5           And yet in a seventh embodiment of the present invention, an optical medium having a adhesive material comprising the light-changeable material, said adhesive material being adhered to one or more layer or surfaces of the medium is disclosed. For example, light-changeable material may be placed in a label, or in a optically clear material on a layer or surface of the medium such that the light-changeable  
10   material is positioned in the manner desired.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

The accompanying drawings, which are incorporated in and constitute part of the specification, illustrate presently preferred embodiments of the invention, and together with the general description given above and the detailed description of the  
15   preferred embodiments given below, serve to explain the principles of the invention.

*Fig. 1* is a cross-sectional view of a conventional prior art optical storage medium of the type generally referred to as a read-only optical storage disc;

*Fig. 2* is a cross-sectional view of an exemplary optical storage medium of the present invention;

20           *Fig. 3* is a cross-sectional view of an another exemplary optical storage medium of the present invention;

*Fig. 4* is a cross sectional view of an optical storage medium wherein the photosensitive material is located in a layer separate from the content data;

25           *Fig. 5* is a diagrammatic flow chart of a conventional prior art injection molding technique for manufacturing read-only optical media;

-20-

*Fig. 6* is a diagrammatic flow chart of a preferred method of the present invention for manufacturing read-only optical media with minor modification to the conventional injection molding for manufacturing read-only optical media of the type set forth in *Fig. 2*.

5           *Fig. 7* is a diagrammatic flow chart of a preferred method of the present invention for manufacturing read-only optical media with minor modification to the conventional injection molding for manufacturing read-only optical media of the type set forth in *Fig. 3*.

### **DETAILED DESCRIPTION OF THE INVENTION**

The present invention overcomes many of the problems associated with  
10 prior art optical media copy-protection systems. The present invention provides optical media that use certain innate physical properties of the optical medium composition to prevent efficient copying of the optical medium. The invention provides for the altering of digital data output during the reading of such optical media in such a manner that data is allowed to be read while preventing reproduction of the media. Such invention does not  
15 require alterations to the hardware, firmware or software used in conventional optical readers. The alteration of data-reads is accomplished by selectively placing light-changeable compounds in the media, such light-changeable compounds preferably reacting upon excitation from the light used by a conventional optical reader. By selective placement of such light-changeable materials, a conventional optical reader may read  
20 optically encoded data one way prior to activation of the light-changeable material, and in another manner after activation, and yet in the first manner when the light-changeable materials are no longer activated.

The light-changeable material may be selectively placed in register with the data pits and lands and the checksums set on the optical medium take into account the  
25 changeable data strings such that the Cross-Interleave Reed-Solomon Code ("CIRC")

-21-

decoder (standard on all CD players/readers) does not detect a read error preventing the underlying data from being read. Up to three percent of demodulated incoming frames from the reading laser can be corrected by an enhanced CIRC decoder. Both the data represented by the pits and lands, and the data represented by the light-changeable material  
5 may need to be decoded to correct data strings by the CIRC decoder. Alternatively, the light-changeable material may be placed in a separate layer planar to the injection molded data without regard to registry.

When the two different data sets readable from the locus are used to cause proper read of the optical medium (e.g., when a software instruction set on the optical  
10 medium or elsewhere requires two different data reads at a locus for proper functioning), it may be preferred that the light-changeable materials employed exhibit long term stability under typical optical media storage conditions and that the light-changeable materials be light fast and non-reactive permitting the optical medium to be used over a long period of time. On the other hand, it may be preferred in some applications that the chosen light-  
15 changeable material degrade over a period of time such that the optical medium can be read only over a limited time period as, for example, with demonstration discs.

Demonstration discs are often provided to consumers to entice them into buying a full-fledged release of the product. Demonstration discs are often packaged to provide limited functionality (i.e., not allowing all aspects of the fully functioning  
20 software to be executed) and/or contain instruction sets that limit the number of times that the disc can be employed by the user. The present invention provides advantage over such demonstration discs in that a demonstration disc employing teachings of the present application could be made to be fully functional, and yet designed to lack functionality after a period of time or after a number of uses, without need to resort to purely (possibly  
25 hackable) software means to effectuate the same.

Light-changeable compounds may be chosen from any compound or combination of compounds that serve to change the output signal from the medium upon

re-reading. These compounds include, without limitation, delayed emission compounds, delayed absorbance compounds and other light-changeable compounds. A layer in the medium that becomes reflective upon re-reading may also be useful in predictably altering the output of the medium.

5           The light-changeable compounds of the present invention may be either organic or inorganic in nature, a combination of both, or mixtures thereof. The compounds preferably demonstrate delayed response to the wavelength(s) of light to which they are sensitive, such that the data can be read by the reader in at least a first intended form upon initial read, and upon re-sampling in at least a second intended form.

10           In a preferred embodiment the light-changeable compounds are compounds capable of light-emission upon stimulation with one or more wavelengths of light. Preferably the light-emissive light-changeable compounds emit at wavelengths that are in the same, or about the same, as the wavelengths that are detected by the readers. For example, with a CD it is preferred that the light-changeable compound emit at a  
15 wavelength of about 780 nm and with a DVD that the light-changeable compound emit at a wavelength of about 650 nm.

As stated above, the light-changeable compounds may be organic in nature, as for example, a dye. A particularly useful class of organic dyes of the present invention are the cyanine dyes. These cyanine dyes include, among others, indodicarbocyanines  
20 (INCY), benzindodicarbocyanines (BINCY), and hybrids that include both an INCY and a BINCY. Hybrids may be, for example, mixtures of two different dyes or, in another embodiment, compounds that include both INCY and BINCY moieties. In one embodiment, the light-changeable compound is a ratiometric compound having a linked structure with excitation ranges at both the CD and DVD ranges of about 530 and 780 nm.  
25 In a further embodiment, the dye is phosphorescent, having a time delay of about 10 milliseconds.

Table 1 provides some organic dyes that may be useful with the invention.

Table 1

Dye Name/No.	CD/DVD	Excitation	Emission
Alcian Blue (Dye 73)	DVD	630 nm	Absorbs
Methyl Green (Dye 79)	DVD	630 nm	Absorbs
Methylene Blue (Dye 78)	DVD	661 nm	Absorbs
Indocyanine Green (Dye 77)	CD	775 nm	818nm
Copper Phthalocyanine (Dye 75)	CD	795 nm	Absorbs
IR 140 (Dye 53)	CD	823 nm (66 ps)	838 nm
IR 768 Perchlorate (Dye 54)	CD	760 nm	786 nm
IR 780 Iodide (Dye 55)	CD	780 nm	804 nm
IR 780 Perchlorate (Dye 56)	CD	780 nm	804 nm
IR 786 Iodide (Dye 57)	CD	775 nm	797 nm
IR 768 Perchlorate (Dye 58)	CD	770 nm	796 nm
IR 792 Perchlorate (Dye 59)	CD	792 nm	822 nm
1,1'-DIOCTADECYL-3,3',3'- TETRAMETHYLINDODI- CARBOCYANINE IODIDE (Dye 231)	DVD	645 nm	665 nm



-24-

Dye Name/No.	CD/DVD	Excitation	Emission
1,1'-DIOCTADECYL-3,3,3',3'-TETRAMETHYLINDO TRICARBOCYANINE IODIDE (Dye 232)	DVD	748nm	780 nm
1,1',3,3,3',3'-HEXAMETHYL-INDODICARBOCYANINE IODIDE (Dye 233)	DVD	638 nm	658 nm
DTP (Dye 239)	CD	800 nm (33 ps)	848 nm
HITC Iodide (Dye 240)	CD	742 nm (1.2 ns)	774 nm
IR P302 (Dye 242)	CD	740 nm	781 nm
DTTC Iodide (Dye 245)	CD	755 nm	788 nm
DOTC Iodide (Dye 246)	DVD	690 nm	718 nm
IR-125 (Dye 247)	CD	790 nm	813 nm
IR-144 (Dye 248)	CD	750 nm	834 nm

As also stated above, the light-changeable compounds may also be inorganic in nature. Inorganic compounds find particular use in the present invention

5 when the light-changeable material is desired to be functional for long periods of time on the optical medium. Inorganic compounds are less prone to degrade when exposed to repeated laser challenges.

-25-

Inorganic compounds capable of light-emission may find use in the present invention. Compounds such as zinc sulfide (ZnS) at various concentrations (Seto, D. *et al.*, *Anal. Biochem.* 189, 51 - 53 (1990)), and rare earth sulfides and oxysulfides, such as, but not limited to, ZnS-SiO<sub>2</sub>, Zn<sub>2</sub>SiO<sub>4</sub>, and La<sub>2</sub>O<sub>2</sub>S are known to be capable of emitting phosphorescence at certain wavelengths. Such inorganic light-emissive compounds may be used advantageously with a metal ion such as manganese (Mn), copper (Cu), europium (Eu), samarium (Sm), SmF<sub>3</sub>, terbium (Tb), TbF<sub>3</sub>, thulium (Tm), aluminum (Al), silver (Ag), and magnesium (Mg). Phosphorescent and luminescent properties of the compounds can be altered in a ZnS crystal lattice, for example, the delay time and wavelength of emission be controlled by changing the metal ions used for binding (See, *e.g.*, U.S. Patent No. 5,194,290).

Inorganic phase change materials can also be used to effectuate the present copy protection invention. Particularly useful inorganic phase change materials include chalcogenide materials such as GeSbTe, InSbTe, InSe, AsTeGe, TeO<sub>x</sub>-GeSn, TeSeSn, SbSeBi, BiSeGe and AgInSbTe -type materials which can be changed from an amorphous state to a crystalline state by absorption of energy from particular light sources. The phase change should be timed such that the data underlying the phase change material can be read before the change occurs. The phase change should also be persistent enough that upon re-sampling a different data read is obtained, and yet not too persistent such that the underlying data is obfuscated for significant periods of time. Software on the optical medium should be keyed to the period of time involved in the change of phase and return to original phase. In a preferred embodiment the transition from amorphous to crystalline state should not last more encompass more than about 300 msec. Multiple reads in the same spot can be used to induce a temperature change, as can laser pumping, causing phase change activation at a specific point or locus.

The inorganic compound(s) may be used in numerous forms as would be understood by one of ordinary skill in the art, including, without limitation, in very fine

particle size, as dispersions or packed within a crystal lattice (See, *e.g.*, Draper, D.E., *Biophys. Chem.* 21: 91 - 101 (1985)).

Given that the pit size on a typical CD ROM is 0.8  $\mu\text{m}$ , and on a typical DVD 0.4  $\mu\text{m}$ , it is preferred that the inorganic or organic light-changeable materials used  
5 in the present invention be smaller than the respective pit sizes.

In conventional "read only" type optical media, the light-changeable material may be placed at the pit and/or land level, or in register therewith, such that, for example with respect to a delayed light-emissive material, a pit may be read as a land when re-sampling of the data occurs and the light-changeable material emits light. In  
10 writable or recordable optical media the light-changeable material is preferably placed in the phase change layer in a manner to interfere with the read of the substrate change in the manner such change is otherwise intended to be read.

Numerous methods may be used to allow for the precise placement of the light-changeable material with respect to the data structure (i.e., the pit, land, deformation,  
15 etc. read as data) that is desired to be obscured upon activation of the light-changeable material. For example, the light-changeable material may be formulated with an uv cure resin or other photoinitiator which is able to effectuate a cure in the wavelengths associated with readers (400 - 800 nm) or in the UVA, UVB and UVC range (254 nm -  
365 nm) and placed as a layer over the optical medium. A laser beam of appropriate  
20 wavelength may be used to cure the resin at a precise point on the optical medium and the remaining uv cure resin washed off. A photomask may be used to pin point the cure on the optical medium. In such a technique, the light-changeable material is placed in a light sensitive film which is laid on the optical medium. The photomask is used to allow directed cure of the film by permitting curing light to pass through the photomask at  
25 certain positions thereby placing the light-changeable material in the desired positions on the optical medium. Alternatively, quantum dots or nanocrystals (Peng *et al.* *J. Am. Chem. Soc.* 119: 7019 7029 (1997), or fluorescent microspheres (such as Fluospheres available

-27-

form Molecular probes, Oregon, USA) can be used for precise placement on the optical medium. Such micro-materials may be placed in discrete positions by, for example, using lithographic process such as photomasking. As Fluosphere beads can be made from 0.2  $\mu\text{m}$  - 4.0  $\mu\text{m}$  in size, such spheres may be placed at the pit level.

5                Instead of direct registration of the light-changeable material with a pit, land or other data structure, that is the content data, the light-changeable material may be placed in a separate layer planar to the injection molded data without regard to registry.

              The light-changeable material may also be placed on the optical medium in a bound spin-coat rather than specifically placed in discrete points or localities. Preferably, in such case, the spin-coat is uniform in thickness. The thickness of the light-changeable material layer in such embodiment may be controlled by varying, among other factors, the rotational speed of the media during the spin coat process. The thickness of the layer will vary according to the application, but is generally between about 160 nm to less than 1 nm thick. The desired thickness of the layer comprising the light-changeable material may vary according to the absorption of the material, the emission of the material, the density of the material and the structure of the media, as well as the properties of the reader that is used to read the data off of the media. It is typically preferred that the light-changeable material layer be applied at a thickness that is thin enough to allow transmission of light to adequately read the underlying data upon initial sampling, while being dense enough to provide adequate change, such as light emission, upon oversampling with the same reader. For many applications a film thickness of from 50 to 160 nm is found useful. For most CDs the film thickness is in the range of from about 70 nm to about 130 nm, while for most DVD the film thickness is preferably in the range of from about 50 nm to about 160 nm.

25                As would be understood by one of ordinary skill in the art, the persistence of the activated state of the light-changeable material, such as a light-sensitive material, (*i.e.*, the length of time the material is in the activated state versus initial state) and the

-28-

delay in the conversion of the initial state to the activated state (*i.e.*, the length of time it takes the material to enter the activated state from the initial state) are important to permit the proper read of the underlying data, and for causing a change in the data read upon re-sampling. Given a pit size of 8  $\mu\text{m}$ , and a typical rotational speed of 1.2 m/sec in a CD-ROM, the preferred delay in a CD is a minimum of about  $6.85 \times 10^{-7}$  seconds. Given a pit size of 0.4  $\mu\text{m}$ , and a rotational speed of about 3.5 m/sec in a DVD, the preferred delay in a DVD is a minimum of about  $1.14 \times 10^{-7}$ . If the delay is too quick the data below the light-changeable material will be obscured prior to read.

The rotational speed, that is the time it takes for a reader to get back to the same area on the optical medium, differs for conventional CDs and DVDs. The persistence of the activated state should at least last this long. Given a 120 mm diameter and a rotational speed of about 1.2 m/sec, the light-changeable material placed on a CD should display a persistence of at least about 300 msec. Given a 120 mm diameter, and a rotational speed of about 3.5 m/sec, the light-changeable material placed on a conventional DVD should display a persistence of at least about 100 msec. If the persistence is too short, the activated state will not be seen to obscure the underlying data upon re-sampling. Of course, if persistence is too long it may not allow the data on the optical medium to be read in an acceptable time after activation of the light-changeable material. Persistence of certain inorganic light-changeable materials, such as zinc sulfide, can be controlled by altering the particle size, or by inserting certain metals or ions in a lattice of zinc sulfide (ZnS) or a crystal lattice of  $\text{ZnS-SiO}_2$ , for example persistence of fluorescence of ZnS can be altered by doping it with different metals or ions such as Eu, Sm Tb, Cu, Mn, Al, and Mg at various concentrations.

It is generally preferred that the particle size be less than 100 nm, more preferably less than 10 nm, and no more than the pit size of the optical medium being read (about 0.8  $\mu\text{m}$  for the conventional CD, and about 0.4  $\mu\text{m}$  for the conventional DVD). The light-changeable material should be placed on the optical medium in a manner that the coating is not so thick as to cause scatter and incoherence. Preferably, any coating of the

-29-

light-changeable material should be less than 100 nm. When the light-changeable material changes reflectivity upon activation, the minimum change in the index of refraction on a pit/land based optical medium should be at least about 0.3 to 0.4 to correspond to the change in index of refraction between a pit and a land.

5           The present invention may be used with conventional optical media such as CDs and DVDs. The invention may also be incorporated into mass production techniques that are currently used to produce "read-only" CDs and DVDs, and hybrid read-only/recordable or rewritable data forms, and other physical optical medium formats, with minimal changes in the production equipment and line. As would be understood by one of  
10 ordinary skill in the art, the present invention may also be employed with recordable or rewritable data forms, albeit, more changes in the production equipment may be required.

Now turning to the figures, there is shown in *Fig. 1* a cross-sectional view of a prior art read-only optical storage medium 10 for storing pre-recorded data in a manner that can be read by a radiation beam interacting with the medium. A transparent  
15 polycarbonate substrate layer 12, or similar material having an optical transmission characteristic which permits the radiation interacting with the recording layer to be transmitted therethrough. A aluminum reflector layer 14 is found adjacent to polycarbonate substrate layer 12. Polycarbonate layer 12 is fabricated with the data stored as surface structure, illustrated as lands 16 and pits 18. Aluminum reflector layer 14 is  
20 disposed in such a manner as to provide a surface generally retaining the structure of the polycarbonate surface. A protective overcoat layer 20 is applied to aluminum reflector layer 14 in an uncured state and is cured by ultraviolet radiation. Also shown in *Fig. 1* is the laser beam interaction with a position on a pit (51), and the laser beam interaction at a land (53).

25           *Fig. 5* is a diagrammatic flow chart of a conventional prior art injection molding technique for manufacturing read-only optical media. Manufacture of an optical medium begins with premastering 22 (formatting) of the data. The premastered data is

-30-

used to control a laser used in the glass mastering step 24 to remove photoresist material from a photoresist coated glass plate. The photoresist material is burnt by the laser, the photoresist is cured and unexposed photoresist rinsed off, and the resulting data-bearing glass master is then electroformed with a metal such as Ag or Ni (step 26) to form a father, in the case of a disc, known as the "father disc." The father disc may be used as a template to make a mirror image disc, known in the art as the mother disc (step 28). Mother disc is used to make optical duplicates of the father disc (step 30), such discs being referred to as children discs. Children discs are referred to as stampers when used to produce multiple discs in an injection moulder. If an entire disc "family" is not created, the father disc may be used directly as the stamper.

The injection moulding step 32 uses a stamper to form deformations in the manufactured discs representative of the premastered data of premastering step 22. The manufactured optical media are then removed from the mould and allowed a cool down period, known in the art as the buffering step 34. The surface of the polycarbonate substrate carrying the deformations is coated with metal in metal sputtering step 36. In metal sputtering step 36 metal is coated over and within the deformations to form a metal layer over the polycarbonate substrate. Both the metal layer and the non-metalized polycarbonate substrate surfaces are coated with a protective polymer, typically lacquer, in spincoat step 38. The spincoated layers are then cured at UV curing step 40. The optical media are then inspected at visual inspection step 42 and the optical media are approved or rejected.

*Fig. 6* is a diagrammatic flow chart of a preferred method of the present invention for manufacturing read-only optical media with minor modification to the conventional injection moulding for manufacturing read-only optical discs of the type set forth in *Fig. 2*. As seen in the flow chart, additional steps 46 and 48 are added to the conventional method set forth in *Fig. 5*. Light-changeable material is imprinted at step 46 on the surface of the mold which is not impressed with the child disc (i.e., the stamper) while the stamper is still in contact with the molding material, after the molding material

has sufficiently cooled so as not to damage the light-changeable properties of the material, and before the molded substrate is removed from the moulding apparatus. Imprinting may be done, for example, using gravure, laser printing, mylar screen printing, drop-on-demand printing, CIJ or other methods known in the art for imprinting materials. The resulting

5 optical medium is treated as set forth above in *Fig. 5*, with the additional step 48 of adding a second polycarbonate substrate or protective layer over the surface imprinted with the light-changeable material to protect such material for the ambient environment. *Fig. 2* is a cross-sectional view of an exemplary optical storage medium manufactured by such technique comprising spin coat layers 50, metalized layer 52, impressed polycarbonate

10 layer 54, light-changeable material 56, bonding material layer 58, second polycarbonate layer 60.

Now turning to *Fig. 7* is a diagrammatic flow chart of a preferred method of the present invention for manufacturing read-only optical medium with minor modification to the conventional injection molding for manufacturing read-only optical

15 media of the type set forth in *Fig. 3*. The flow chart of *Fig. 7* differs from that of the conventional technique for manufacturing read-only optical media of *Fig. 5*, in including step 62 wherein light-changeable material is printed onto a second polycarbonate material. As would be apparent to one of ordinary skill in the art, step 62 can be concurrent with, prior to, or after the injection molding of the first substrate. Second polycarbonate

20 substrate is affixed to the metalized polycarbonate medium having the information pits at step 64, which also may be performed other stages in the technique as would be understood by one of ordinary skill in the art. For example, the first substrate may be metal sputtered at the same time that the light-changeable material is being imprinted on the second substrate. Attachment of the second polycarbonate substrate may be means of

25 a hot melt or by way of bonding materials. *Fig. 3* is a cross-sectional view of an exemplary optical storage medium manufactured by such technique comprising spin coat layers 50, metalized layer 52, impressed polycarbonate layer 54, light-changeable material 56, bonding material layer 58, second polycarbonate layer 60.



-32-

*Fig. 4* a cross sectional view of yet another optical storage medium of the present invention wherein the photosensitive material is located in a layer 66 separate from the content data. The photosensitive material may be printed on layer 66 by, for example, an ink jet printer. The optical medium of *Fig. 4* may be produced after UV curing step 40 of *Fig. 5* in that the light-sensitive material layer 66 may be placed on top of the spin coated lacquer layer 68, that sits atop of the data bearing injection molded layer 70. Another spin-coated lacquer layer 72 is shown in the figure to overlie layer 66, to protect such against damage.

While the invention has been described with respect to preferred  
embodiments, those skilled in the art will readily appreciate that various changes and/or  
modifications can be made to the invention without departing from the spirit or scope of  
the invention as defined by the appended claims. All documents cited herein are  
incorporated in their entirety herein.

-33-

WHAT IS CLAIMED IS:

1. A method for fabricating an optical medium, said method comprising the steps of:  
  
molding a substrate so as to have a first major surface with information pits thereon and a second major surface that is relatively planar;  
  
placing light-changeable material on or within said second major surface;  
  
sealing said second major surface having said light-changeable material with a protective material so as to protect said light sensitive material from the ambient environment.
2. The method of claim 1 wherein said substrate comprises polycarbonate.
3. The method of claim 1 wherein the light-changeable material is an organic material.
4. The method of claim 1 wherein the light-changeable material is an inorganic material.
5. The method of claim 3 wherein the organic light-changeable material is capable of light emission.
6. The method of claim 5 wherein the organic light-changeable material comprises a cyanine compound.

-34-

7. The method of claim 5 wherein the organic light-changeable material is excitable by a light source emitting light at a wavelength between about 770 nm to about 830 nm.

8. The method of claim 5 wherein the organic light-changeable material is excitable by a light source emitting at a wavelength between about 630 nm to about 650 nm.

9. The method of claim 4 wherein the inorganic light-changeable material is capable of light emission.

10. The method of claim 9 wherein the inorganic light-emissive light-changeable material is excitable by a light source emitting light at a wavelength between about 770 nm to about 830 nm.

11. The method of claim 9 wherein the inorganic light-emissive light-changeable material is excitable by a light source emitting light at a wavelength between about 630 nm to about 650 nm.

12. The method of claim 4 wherein the inorganic light-changeable material is a material capable of undergoing a phase change from an amorphous state to a crystalline state by absorption of energy from one or more wavelengths of light.

13. The method of claim 12 wherein the inorganic phase-change light-changeable material is selected from the group consisting of: GeSbTe, InSbTe, InSe, AsTeGe, TeO<sub>x</sub>-GeSn, TeSeSn, SbSeBi, BiSeGe and AgInSbTe.

14. The method of claim 1 wherein the light-changeable material is adapted to emit a wavelength length of about 530 nm.

-35-

15. The method of claim 1 wherein the light-changeable material is adapted to emit a wavelength in the range of about 400 nm to about 900 nm.

16. The method of claim 1 wherein the light-changeable material is excitable by a light source emitting at a wavelength about 780 nm and a light source emitting light at a wavelength about 530 nm.

17. The method of claim 1 wherein the light-changeable material is on said second major surface of said substrate at distinct loci.

18. The method of claim 1 wherein the light-changeable material is diffusively placed on said second major surface of said substrate by spin coating.

19. The method of claim 1, further comprising the steps of:

metalizing said first major surface;

sealing said metalized first major surface so as to protect said metal from the ambient environment.

20. A method for fabricating an optical medium, said method comprising the steps of:

obtaining a first substrate having a first major surface and a second major surface;

placing light-changeable material on or within one or both major surfaces of said first substrate;

obtaining a second substrate having a first and a second major surface, said first major surface having information pits thereon;

-36-

affixing said first substrate having said light-sensitive material to said first or second major surface of said second substrate.

21. The method of claim 20 wherein said first substrate comprises polycarbonate.
22. The method of claim 20 wherein said second substrate comprises polycarbonate.
23. The method of claim 20 wherein said first substrate comprises a polymeric film.
24. The method of claim 20 wherein the light-changeable material is a light-emissive compound.
25. The method of claim 20 wherein the light-changeable material comprises an inorganic or organic compound.
26. The method of claim 25 wherein the light-changeable material is excitable by a light source emitting light at a wavelength between about 770 nm to about 830 nm.
27. The method of claim 25 wherein the light-changeable material is excitable by a light source emitting at a wavelength between about 630 nm to about 650 nm.
28. The method of claim 25 wherein the inorganic light-changeable material is a material capable of undergoing a phase change from an amorphous state to a crystalline state by absorption of energy from one or more wavelengths of light.

-37-

29. The method of claim 28 wherein the inorganic phase-change light-changeable material is selected from the group consisting of: GeSbTe, InSbTe, InSe, AsTeGe, TeO<sub>x</sub>-GeSn, TeSeSn, SbSeBi, BiSeGe and AgInSbTe.

30. The method of claim 25 wherein the light-changeable material is adapted to emit at 780 nm.

31. The method of claim 25 wherein the light-changeable material is adapted to emit at 530 nm.

32. The method of claim 25 wherein the light-changeable material is adapted to emit a wavelength in the range of about 400 nm to about 900 nm.

33. The method of claim 25 wherein the light-changeable material excitable by a light source emitting light at a wavelength about 780 nm and a light source emitting at a wavelength about 530 nm.

34. The method of claim 20 wherein the light-changeable material is placed at distinct loci.

35. The method of claim 20 wherein the light-changeable material is diffusively applied by spin coating.

36. A method for fabricating an optical medium, said method comprising:

obtaining a substrate having a first major surface and a second major surface, said first major surface having information pits and lands thereon;

placing a light-changeable material in said information pits or lands;

-38-

metalizing said first major surface of said substrate having information pit thereon;

disposing an overcoat layer along said metalized surface.

37. The method of claim 36 wherein the light-changeable material is an organic material.

38. The method of claim 36 wherein the light-changeable material is an inorganic material.

39. The method of claim 37 wherein the organic light-changeable material is capable of light-emission.

40. The method of claim 39 wherein the organic light-changeable material comprises a cyanine compound.

41. The method of claim 39 wherein the organic light-changeable material is excitable by a light source emitting light at a wavelength between about 770 nm to about 830 nm.

42. The method of claim 39 wherein the organic light-changeable material is excitable by a light source emitting at a wavelength between about 630 nm to about 650 nm.

43. The method of claim 38 wherein the inorganic light-changeable material is capable of light emission.

44. The method of claim 43 wherein the inorganic light-emissive light-changeable material is excitable by a light source emitting light at a wavelength between about 770 nm to about 830 nm.

-39-

45. The method of claim 43 wherein the inorganic light-emissive light-changeable material is excitable by a light source emitting light at a wavelength between about 630 nm to about 650 nm.

46. The method of claim 38 wherein the inorganic light-changeable material is a material capable of undergoing a phase change from an amorphous state to a crystalline state by absorption of energy from one or more wavelengths of light.

47. The method of claim 45 wherein the inorganic phase-change light-changeable material is selected from the group consisting of: GeSbTe, InSbTe, InSe, AsTeGe, TeO<sub>x</sub>-GeSn, TeSeSn, SbSeBi, BiSeGe and AgInSbTe.

48. The method of claim 36 wherein the light-changeable material is adapted to emit a wavelength length of about 530 nm.

49. The method of claim 36 wherein the light-changeable material is adapted to emit a wavelength in the range of about 400 nm to about 900 nm.

50. The method of claim 36 wherein the light-changeable material is excitable by a light source emitting at a wavelength about 780 nm and a light source emitting light at a wavelength about 530 nm.

51. The method of claim 36 wherein the light-changeable material is on said second major surface of said substrate at distinct loci.

52. The method of claim 36 wherein the light-changeable material is diffusively placed on said second major surface of said substrate by spin coating.

53. A method for fabricating an optical medium, said method comprising the steps of:



-40-

obtaining a first substrate having a first major surface and a second major surface, said first or second major surface, or both, having a detectable registration mark;

imprinting in select areas said first major surface of said first substrate layer with light-changeable material;

obtaining a second substrate having a first major surface and a second major surface, said first major surface of said second substrate layer having information pits thereon and either of said first or second major surface, or both, having a detectable registration mark;

affixing said second major surface of said second substrate along said first major surface of said first substrate so as said detectable registration marks overlap each other;

metalizing said first major surface of said second substrate layer having said information pits;

disposing a first overcoat layer along said metalized surface; and

optionally disposing a second overcoat layer along said second major surface of said first substrate layer.

54. The method of claim 53 wherein said first substrate comprises polycarbonate.

55. The method of claim 53 wherein said second substrate comprises polycarbonate.

56. The method of claim 53 wherein the light-changeable material is a light-emissive compound.

57. The method of claim 56 wherein the light-changeable material comprises a cyanine compound.

58. The method of claim 56 wherein the light-changeable material is excitable by a light source emitting light at a wavelength between about 770 nm to about 830 nm.

59. The method of claim 56 wherein the light-changeable material is excitable by a light source emitting at a wavelength between about 630 nm to about 650 nm.

60. The method of claim 56 wherein the light-changeable material is adapted to emit at 780 nm.

61. The method of claim 56 wherein the light-changeable material is adapted to emit at 530 nm.

62. The method of claim 56 wherein the light-changeable material is adapted to emit a wavelength in the range of about 400 nm to about 900 nm.

63. The method of claim 56 wherein the light-changeable material excitable by a light source emitting light at a wavelength about 780 nm and a light source emitting at a wavelength about 530 nm.

64. The method of claim 56 wherein the light-changeable material is placed at distinct loci.

65. The method of claim 56 wherein the light-changeable material is diffusively applied by spin coating.

66. An optical medium comprising:

a first substrate layer having a first major surface and a second major surface, said first major surface of said first substrate layer having light-changeable material thereon;

a second substrate layer having a first major surface and a second major surface, said first major surface of said second substrate layer having information pits thereon, and said second major surface being disposed along said first major surface of said first substrate layer;

a metal reflector layer, said metal reflector layer being disposed along said first major surface of said second substrate layer;

a first overcoat layer being disposed along said metal reflector layer; and

optionally a second overcoat layer being disposed along said second major surface of said first substrate layer.

67. A multi-layer optical medium comprising:

a substrate having a first major surface and a second major surface, said first major surface having information pits and lands thereon;

a polymeric film positioned over said first major surface, said polymeric film comprising light-changeable material positioned over one or more of said information pits and lands.

68. The method of claim 67, wherein the light-changeable material is a light-emissive material.

-43-

69. The method of claim 68, wherein the light-changeable material is a light-absorptive material.

70. The method of claim 68, wherein the light-changeable material is a light-sensitive material.

71. The method of claim 68, wherein the light-changeable material is capable of light emission.

72. The method of claim 68, wherein the light-changeable material is capable of luminescence.

73. The method of claim 68 wherein the light-changeable material is capable of phosphoresence.

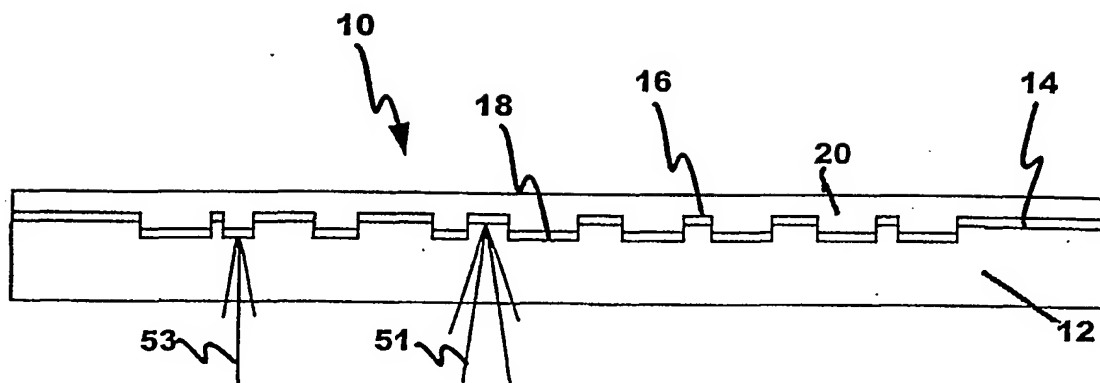


FIG. 1

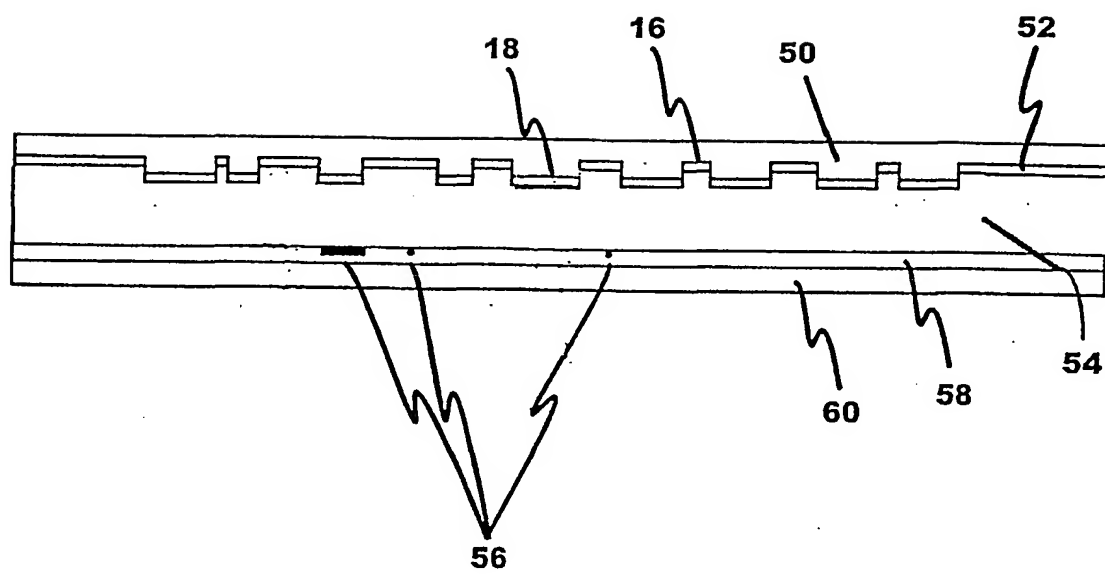


FIG. 2

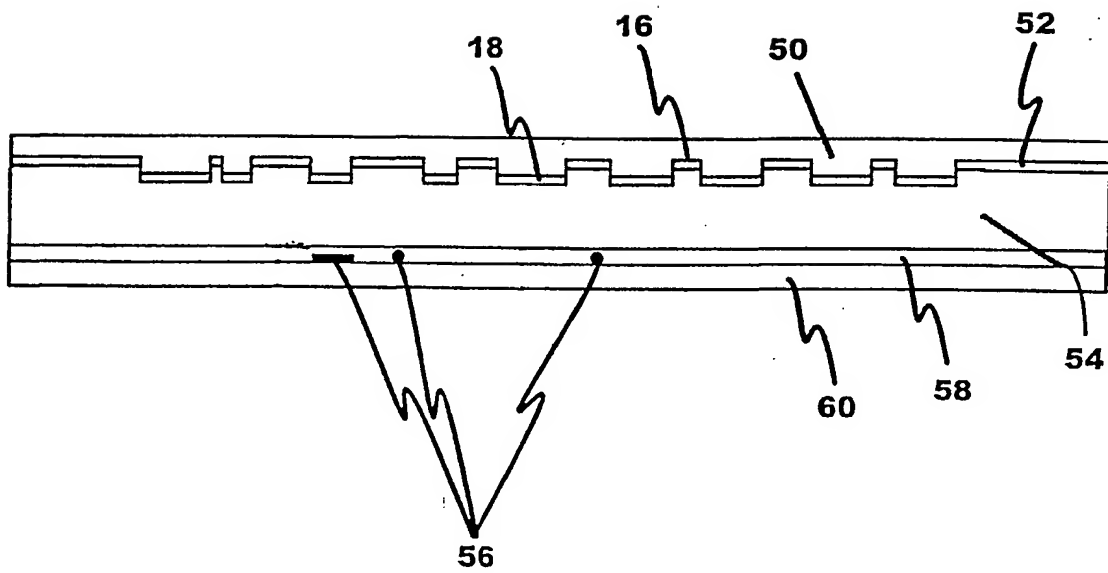


FIG. 3

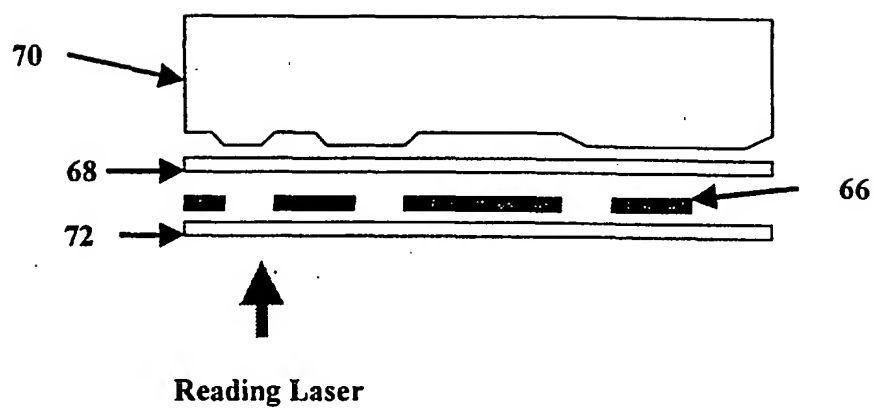


FIG. 4



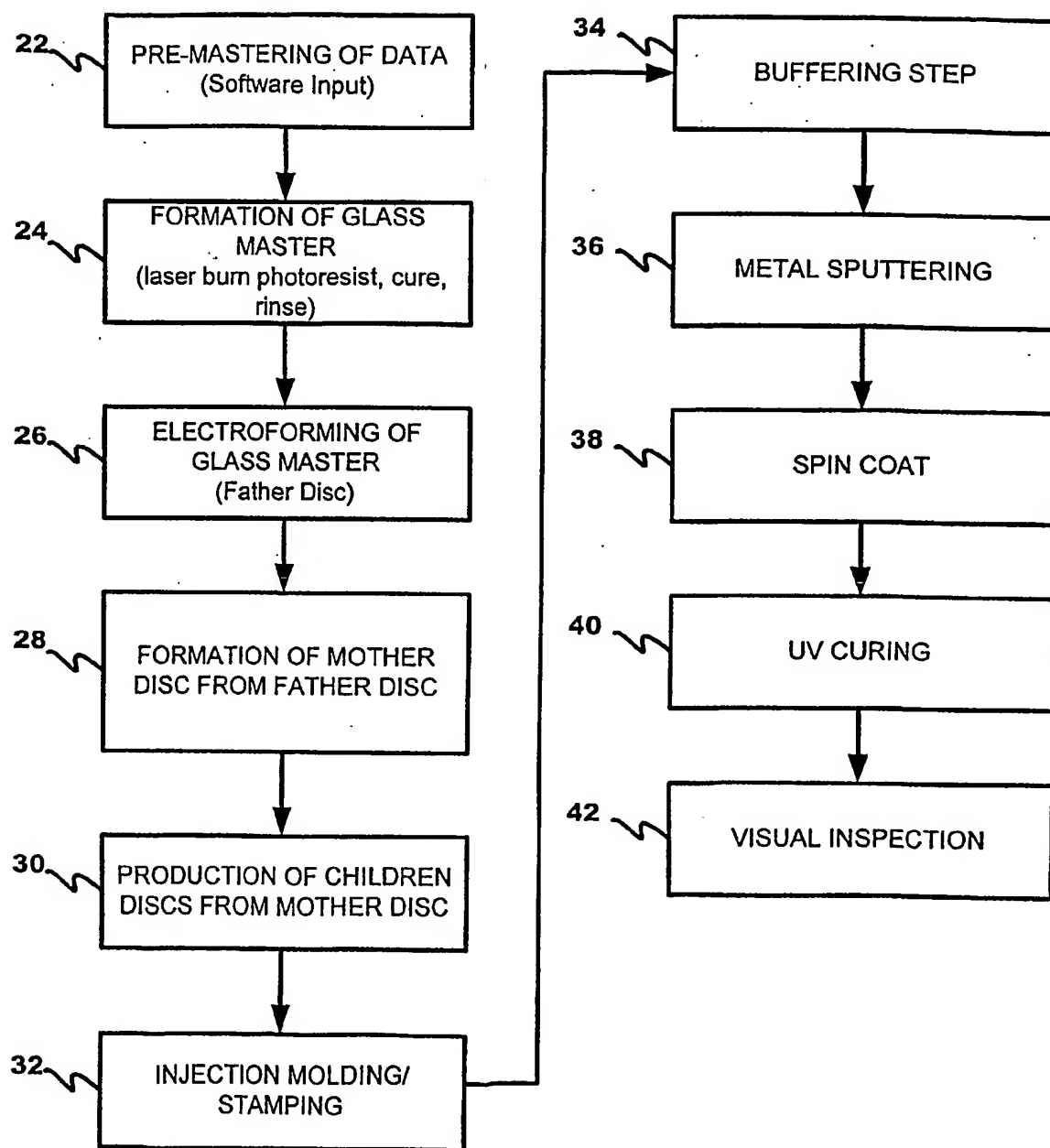


FIG. 5

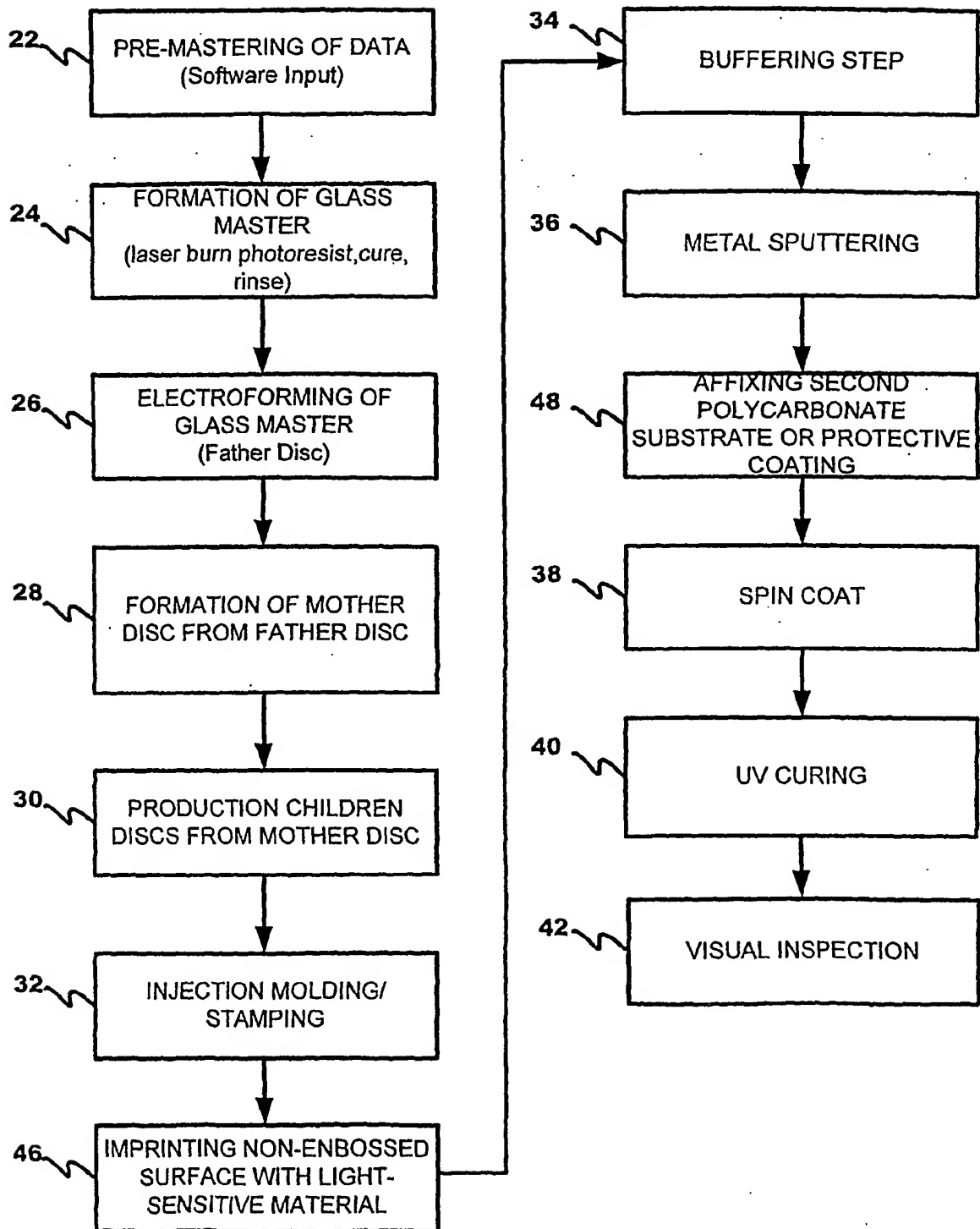


FIG. 6

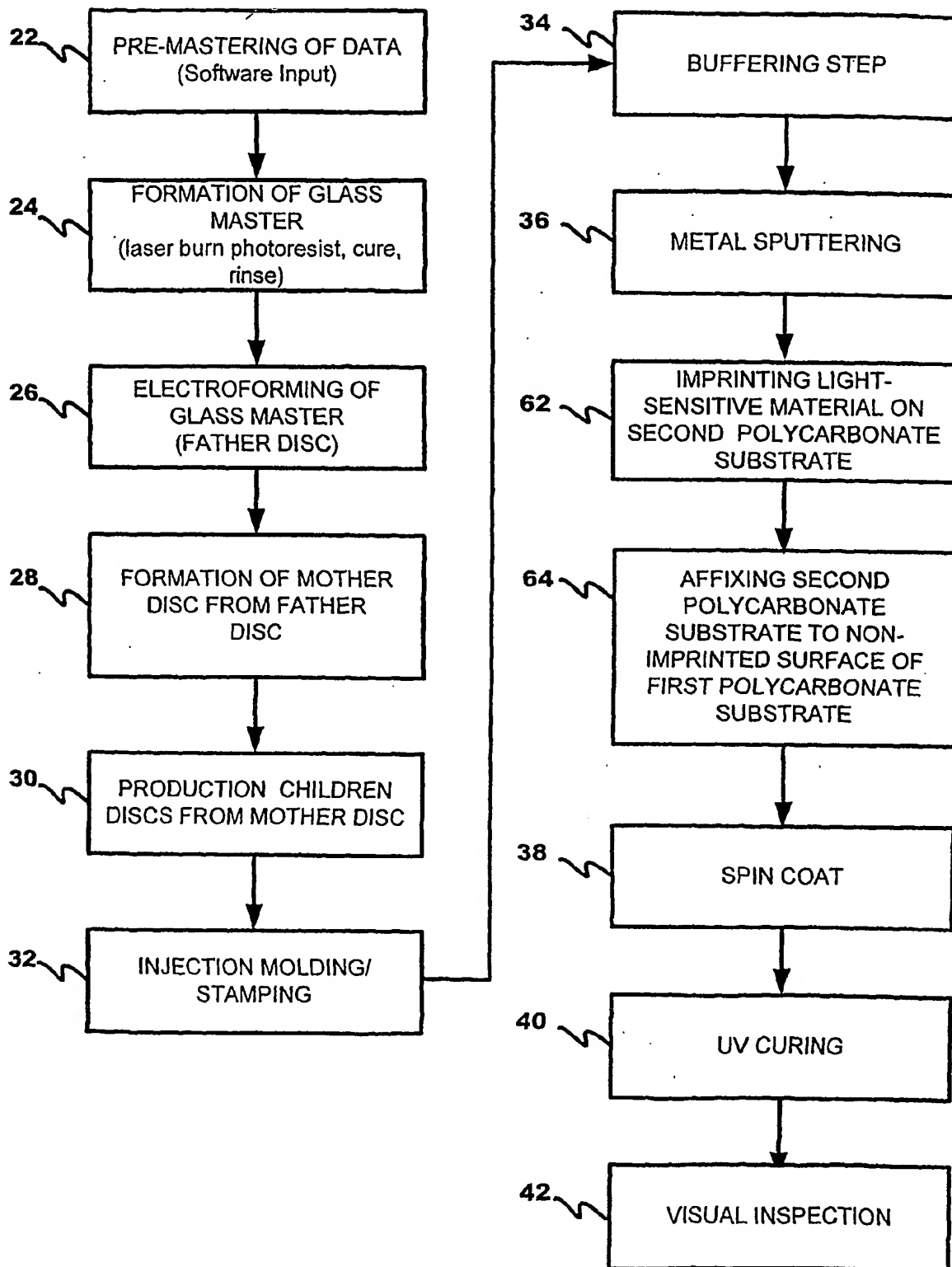


FIG. 7

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US01/10104

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(7) :B29D 11/00; G11B 7/00

US CL :264/1.33, 106, 107; 369/275.1, 275.2

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 264/1.33, 106, 107; 369/275.1, 275.2, 286; 425/810

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X --- Y	US 6,011,772 A (ROLLHAUS et al) 04 January 2000, see whole document	1-3, 5, 6, 17, 19 ----- 4, 7-16, 18, 20-35, 53-66
X --- Y	US 5,815,484 A (SMITH et al) 29 September 1998, see whole document	36, 37, 39, 67, 68 ----- 38, 40-66, 69-73
Y	US 5,963,536 A (VASIC et al) 05 October 1999, see whole document	4, 9-13, 38, 43-47

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier document published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

22 JUNE 2001

Date of mailing of the international search report

02 AUG 2001

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

MATHIEU D. VARGOT

DEBORAH THOMAS  
PARALEGAL SPECIALIST

Telephone No. (703) 308-0661

3/8/2006

Patent Information Print

Docket No	SAM-0904	Application #	
Country	United States	Application Dt	
Case Type	Regular	Patent No	
Relation Type	Original Filing	Grant Dt	
Filing Type	National	Publication #	
Filing No		Publication Dt	
Attorney	FIRM ACCOUNT	Assigned	
Agent	Sungwoo International	Expiration Dt	
Client\Division	Samsung Electronics, Co., LT	Conv Type	
Current Owner		Tax Base Dt	
Prev Own		Next Tax Dt	
Status	Docketed	Associate	Sungwoo International
First Filing Dt	7/7/2005	Oper Grp	
Sub Stat		Ag Ref No	2005050008
Sub Stat Dt		Verified	N
Parent Country	Korea South	Customer	Z7PP
Parent Filing Dt		Create Dt	1/17/2006
Parent No	05-0061430	Update Dt	3/8/2006
Parent Grant Dt	7/7/2005	Update Tm	1540
Total Claims		Update User	MDC
Ind. Claims		Update Type	

\*\*Actions\*\*

Action	Draft Appln/IDS-ELECTRONIC	Comp Dt	1/1/1930
Act Due Date	1/30/2006	Resp Atty #1	
Taken Dt		Resp Atty #2	
DeadLn Dt			

Act Notes  
fm 1/30/2006 - c/o and chgd to "Draft appln/IDS (elect)(ADV)  
" p/APO 3/6/2006

Action	Draft Appln/IDS(EMAIL) (ADV)	Comp Dt	
Act Due Date	3/13/2006	Resp Atty #1	
Taken Dt		Resp Atty #2	
DeadLn Dt			

Action	File Application w/IDS	Comp Dt	
Act Due Date	4/14/2006	Resp Atty #1	
Taken Dt		Resp Atty #2	
DeadLn Dt			

Action	File Appln - FINAL w/IDS	Comp Dt	
Act Due Date	7/7/2006	Resp Atty #1	
Taken Dt		Resp Atty #2	
DeadLn Dt			

\*\*Inventors\*\*

Inv Name	Park, Chul-Woo	Assigned	
----------	----------------	----------	--

\*\*Other No's\*\*

Other No Cd	Samsung Reference	Other No Dt	
Other No	ID2005040151		
Other No Cd	Attorney Assigned/Date	Other No Dt	
Other No	APO/1/17/2006		

\*\*Title\*\*

Title  
SEMICONDUCTOR MEMORY DEVICE